

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)In re PATENT APPLICATION of  
Inventor(s): KOMINO et al.Appln. No.: 09 Atty.Dkt. PM 272798 EL00006CDC  
Series Code ↑ Serial No. ↑ M# Client Ref

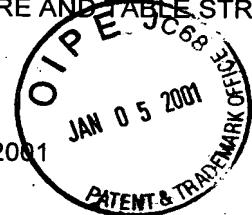
Filed: September 22, 2000

Title: PLASMA PROCESSING APPARATUS AND ELECTRODE STRUCTURE AND TABLE STRUCTURE OF  
PROCESSING APPARATUS

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: January 5, 2001



Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received
2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3.  Specification originally filed in non-English language; hence verified translation attached of:  
 a.  Abstract  
 b. # 53  pages of Specification(only spec. & claims)  
 c.  Drawing(s) 14  
 No of Sheets  
 Fig(s). 1-24
4.  Letter filing formal drawing attached.
5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

8.

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-168297	June 5, 2000	(2) 11-173613	June 21, 1999
(3)		(4)	
(5)		(6)	

9. 2 (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_
10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11.  Attached: Japanese Search Report dated September 19, 2000, Form PTO-1449 listing the enclosed documents and Form PCT/IB/304 (July 1998).
12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....		Design Application Not Design Application	\$320/\$160 \$710/\$355	+0		106/26 101/201
14. Total Effective Claims	44	minus 20 =	24	x \$18/\$9	+432	103/203
15. Independent Claims	5	minus 3 =	2	x \$80/\$40	+160	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$270/\$135	+270		104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+0		105/205
18.			FILING FEE ENCLOSED =	<b>\$862</b>		
19. Original due date:	January 6, 2001					
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)		\$110/\$55 = \$390/\$195 = \$890/\$445 = \$1390/\$695 =	+0		115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....			\$130	+0		139
22. If "assignment" box 5 is X'd, add recording fee.....			\$40	+0		581
23. Petition Fee for			\$130	+0		
24.			TOTAL FEE ENCLOSED =	<b>\$862</b>		

Our Deposit Account No. 03-3975

Our Order No. 40258272798

C#

M#

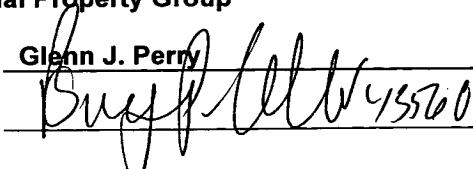
**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918

Tel: (202) 861-3000

Atty/Sec: GJP/nmw

By Atty: Glenn J. Perry Reg. No. 28458  
 Sig:   
 Fax: (202) 822-0944  
 Tel: (202) 861-3070

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

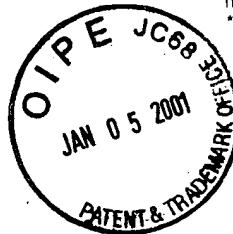
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/667,770	09/22/2000	Mitsuaki Komino	PM 272798 EL00006CDC

## FORMALITIES LETTER

Pillsbury Madison & Sutro LLP  
 Intellectual Property Group  
 Ninth Floor  
 1100 New York Avenue NW  
 Washington, DC 20005-3918



\*OC000000005534099\*



Date Mailed: 11/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/18/2001 TV0111 0000002 09667770

## FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	414.00 OP
03 FC:139	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

- The balance due by applicant is \$ 130.

*pd 9/22/00*

Repln. Ref: 04/18/2001 TV0111 0014521300  
 DA#033975 Name/Number:09667770  
 FC: 704 \$28.00 CR

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

## PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2001 ETUL01 00000073 09667770

Adjustment date: 04/18/2001 TV0111

~~432.00 OP~~  
~~160.00 OP~~  
~~270.00 LP~~

01/09/2001 ETUL01 00000073 09667770

01 FC:103

02 FC:1102

~~432.00 OP~~~~160.00 OP~~~~270.00 LP~~

## PATENT COOPERATION TREATY

PCT

**NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT**

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

ITOH, Tadahiko  
32nd Floor, Yebisu Garden Place  
Tower  
20-3, Ebisu 4-chome  
Shibuya-ku, Tokyo 150-6032  
JAPON



Date of mailing (day/month/year) 13 October 2000 (13.10.00)	
Applicant's or agent's file reference EL00006PCT	<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/JP00/04011	International filing date (day/month/year) 20 June 2000 (20.06.00)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 21 June 1999 (21.06.99)
Applicant TOKYO ELECTRON LIMITED et al	

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
21 June 1999 (21.06.99)	11/173613	JP	18 Augu 2000 (18.08.00)
05 June 2000 (05.06.00)	2000/168297	JP	18 Augu 2000 (18.08.00)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer  Tessadel PAMPLIEGA <i>Tdp</i>  Telephone No. (41-22) 338.83.38
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